

# Introduction to Mathematical Quantum Theory

## Solution to the Exercises

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### Exercise 1

Let  $V$  be a Banach space and  $E$  a nonempty subset of  $V$  such that for any  $\xi \in V^*$  there exists a finite constant  $C_\xi$  such that

$$\sup_{x \in E} |\xi(x)| \leq C_\xi. \quad (1)$$

Prove that  $E$  must be bounded.

*Hint: Consider the map  $J : V \rightarrow V^{**}$  defined as*

$$[J(x)](\xi) := \xi(x) \quad \forall x \in V, \xi \in V^*. \quad (2)$$

*Prove that  $\|J(x)\|_{V^{**}} = \|x\|$  for any  $x \in V$ . Use the Uniform Boundedness Principle to show that  $J(E)$  is bounded and conclude.*

*Proof.* Consider  $x \in V$ . Recall that we proved that for any  $x$  we have

$$\|x\| = \sup_{\xi \in V^*, \|\xi\|_{V^*}=1} |\xi(x)|.$$

We then get

$$\|J(x)\|_{V^{**}} = \sup_{\xi \in V^*, \|\xi\|_{V^*}=1} |[J(x)](\xi)| = \sup_{\xi \in V^*, \|\xi\|_{V^*}=1} |\xi(x)| = \|x\|.$$

Consider now the set  $J(E) \subseteq V^{**}$ . Consider  $\xi \in V^*$ ; using the hypothesis we then get

$$\sup_{x \in E} |[J(x)](\xi)| = \sup_{x \in E} |\xi(x)| \leq C_\xi.$$

We can then apply the uniform boundedness principle to get that there exists a constant  $C$  such that

$$\sup_{x \in E} \|J(x)\|_{V^{**}} \leq C.$$

As a consequence we get

$$\sup_{x \in E} \|x\| = \sup_{x \in E} \|J(x)\|_{V^{**}} \leq C,$$

and therefore  $E$  is bounded.

□

## Exercise 2

Consider  $(X, \Omega)$  a measurable space (i.e., a set  $X$  with a  $\sigma$ -algebra  $\Omega$  in it), and consider a projection-valued measure with values in  $\mathcal{H}$  an Hilbert space. Let  $E, F \in \Omega$ .

**a** Prove that if  $E \cap F = \emptyset$  then  $\text{Ran } \mu(E) \perp \text{Ran } \mu(F)$ .

**b** Prove that  $\mu(E)\mu(F)$  is an orthogonal projector and that

$$\text{Ran } (\mu(E)\mu(F)) = \text{Ran } \mu(E) \cap \text{Ran } \mu(F). \quad (3)$$

*Proof.* To prove **a** first recall that from the definition of projection-valued measure we get that for any  $E, F \in \Omega$  we have  $\mu(E \cap F) = \mu(E)\mu(F)$ . Therefore if  $E \cap F = \emptyset$  we have that  $\mu(E)\mu(F) = \mu(F)\mu(E) = \mu(\emptyset) = 0$ . Let now  $\psi \in \text{Ran } \mu(E)$ ,  $\phi \in \text{Ran } \mu(F)$ . Given that  $\mu(E)$  and  $\mu(F)$  are orthogonal projectors, we get  $\psi = \mu(E)\psi$  and  $\phi = \mu(F)\phi$ , and as a consequence

$$\langle \phi, \psi \rangle = \langle \mu(F)\phi, \mu(E)\psi \rangle = \langle \phi, \mu(F)^* \mu(E)\psi \rangle = \langle \phi, \mu(F)\mu(E)\psi \rangle = 0,$$

and therefore  $\text{Ran } \mu(E) \perp \text{Ran } \mu(F)$ .

To prove **b**, first we get that in general for any  $E, F \in \Omega$  we get  $\mu(E)\mu(F) = \mu(E \cap F)$ , and given that the latter is an orthogonal projector, also the former is. To prove (3), we first prove  $\subseteq$ . Indeed we get trivially that  $\text{Ran } (\mu(E)\mu(F)) \subseteq \text{Ran } \mu(E)$ , and on the other hand  $\text{Ran } (\mu(E)\mu(F)) = \text{Ran } (\mu(F)\mu(E)) \subseteq \text{Ran } \mu(F)$ , therefore it must be included in the intersection.

On the other hand, to prove  $\supseteq$  let  $\psi \in \text{Ran } \mu(E) \cap \text{Ran } \mu(F)$ . Then we get that  $\mu(E)\psi = \psi = \mu(F)\psi$ . As a consequence we get  $\psi = \mu(E)\psi = \mu(E)\mu(F)\psi \in \text{Ran } (\mu(E)\mu(F))$ , and this concludes the proof.

□

## Exercise 3

Let  $\mathcal{H}$  be an Hilbert space. Let  $A$  be a self-adjoint bounded operator over  $\mathcal{H}$ . Let  $B$  a bounded operator over  $\mathcal{H}$  such that  $[A, B] = 0$ . Consider a bounded complex-valued measurable function  $f$ . Prove that  $[f(A), B] = 0$ .

*Proof.* Notice first that if  $[A, B] = 0$  then  $[A^n, B] = 0$  for any  $n \in \mathbb{N}$ . As a consequence, if  $f$  is a polynomial we also get  $[f(A), B] = 0$ . Consider now  $f$  a real-valued continuous function; from Weierstrass theorem we get that there exists a sequence of polynomials  $p_n$  that converges uniformly to  $f$  as  $n$  goes to infinity, and applying the result to the sequence of polynomials we get that also  $f(A)$  commutes with  $B$ . Now, any complex-valued function  $f$  can be written as  $f = \text{Re}f + i\text{Im}f$ , and given that  $\text{Re}f$  and  $\text{Im}f$  are continuous and real-valued the result is also true for complex-valued continuous functions. Consider now the set  $\mathcal{F} : \{f : \sigma(A) \rightarrow \mathbb{C} \mid [f(A), B] = 0\}$ ; so far we proved that any complex-valued continuous function is in  $\mathcal{F}$ . Given that  $\mathcal{F}$  is closed by uniformly bounded pointwise limit, we get that  $\mathcal{F} = L^\infty(\sigma(A); \mathbb{C})$ , which concludes the result.

□

#### Exercise 4

Let  $\mathcal{H}$  be an Hilbert space. Let  $T$  be a bounded operator over  $\mathcal{H}$ . We proved in class that in general  $R(T) \leq \|T\|$ , where

$$R(T) := \sup_{\lambda \in \sigma(T)} |\lambda|. \quad (4)$$

Exhibit an explicit operator such that  $R(T) < \|T\|$ .

*Proof.* Consider the operator  $T$  defined on the Hilbert space  $\mathcal{H} := L^2(I)$ , with  $I = (0, 1)$  as

$$T\psi(x) := \int_0^1 \psi(x) dx.$$

$T$  is a well-defined bounded linear operator and we proved in one of the exercise sessions that the spectrum of  $T$  is  $\sigma(T) = \{0\}$ , and therefore  $R(T) = 0$ . On the other hand,  $T \neq 0$  implies  $\|T\| > 0 = R(T)$ .

□